Lecture Notes for

# Logic and Computability 

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## 9

# Modelling Systems and Symbolic Encoding 

Many digital circuits and programs are examples of reactive systems. Such systems typically exhibit frequent interactions with their environment and often do not terminate. The most important feature of a reactive system that we need to capture is its state. A state is a snapshot of the system that captures the values of the variables of the system at a particular instant of time. To analyze system behavior we also need to know how the state of the system changes as the result of some action of the system. Such a pair of states determines a transition of the system. Consequently, the behaviors of a reactive system can be defined in terms of its transitions.
In this chapter, we use a type of state transition graph called a transition system to model the behavior of reactive systems. A transition system consists of a set of states and a set of transitions between states. Paths in transition systems correspond to behaviors of the system. Although transition systems are very simple models, they are sufficiently expressive to capture many aspects of temporal behavior that are most important for reasoning about reactive systems.

Transition systems are often very large. Therefore, we will use formulas to symbolically represent transition systems. We will see that it is straightforward to translate a transition system to a formula, and vise versa.

### 9.1 Transition Systems

Definition 9.1 (Transition system.) A transition system $\mathcal{T}$ is a triple ( $S, S_{0}, R$ ) where

1. $S$ is a set of states.
2. $S_{0} \subseteq S$ is the set of initial states.
3. $R \subseteq S \times S$ is a transition relation.

Transition systems are frequently visualized by means of directed graphs.

## Example 1

Draw the graph of a transition system $\mathcal{T}$ with:

$$
S=\left\{s_{1}, s_{2}, s_{3}\right\}, \quad S_{0}=\left\{s_{1}\right\}, \quad R=\left\{\left(s_{1}, s_{2}\right),\left(s_{2}, s_{1}\right),\left(s_{3}, s_{2}\right)\right\}
$$

Solution.
The transition system $\mathfrak{T}$ :


## Example 2

Consider the example of a traffic light. Initially the red light is on. After some time, the traffic light switches from the red light to a state where both the red and the yellow light are on. From red/yellow, it switches to green, from green to yellow, and from yellow back to red, and so on. Model the traffic light as transition system.

Solution. We use the following states:

- $s_{r}$ indicates that the red light is on.
- $s_{y}$ indicates that the yellow light is on.
- $s_{g}$ indicates that the green light is on.
- $s_{r y}$ indicates that the red and yellow lights are on.

The transition system is then given by: $\mathcal{T}=\left(S, S_{0}, R\right)$ with:

- $S=\left\{s_{r}, s_{y}, s_{g}, s_{r y}\right\}$,
- $S_{0}=\left\{s_{r}\right\}$, and
- $R=\left\{\left(s_{r}, s_{r}\right),\left(s_{r}, s_{r y}\right),\left(s_{r y}, s_{r y}\right),\left(s_{r y}, s_{g}\right),\left(s_{g}, s_{g}\right),\left(s_{g}, s_{y}\right),\left(s_{y}, s_{y}\right),\left(s_{y}, s_{r}\right)\right\}$.

The transition system is then represented by the following graph:


### 9.2 Symbolic Encoding

Our goal is to represent transition systems via formulas in propositional logic. This gives us an efficient way to store and to work with transition systems. Therefore, we need to be able to encode sets of states and transitions symbolically, this means to express them with propositional logic formulas.

### 9.2.1 Symbolic Representation of Sets of States

Since states are instantaneous descriptions of a system, it is natural to identify states with valuations of the system variables. To this end, let $V=\left\{v_{1}, \ldots, v_{n}\right\}$ be the set of (Boolean) system variables. Thus, a state $s$ defines a valuation for all variables $v \in V$; it assigns truth values to all variables. For a given state, we can write a formula that is true for exactly that valuation of state variables.

Symbolic Representation of States via Binary Encoding. Given a state space $S=\left\{s_{0}, s_{1}, \ldots\right\}$ of the size $|S| \leq 2^{n}$, we need $n$ Boolean variables $\left\{v_{0}, \ldots, v_{n-1}\right\}$ to symbolically represent the state space. We use an Binary encoding to represent states in the state space.

- Using a single Boolean variable $v_{0}$, we can symbolically represent two states $S=\left\{s_{0}, s_{1}\right\}$.
- The formula $\neg v_{0}$ symbolically represent the state $s_{0}$.
- The formula $v_{0}$ symbolically represent the state $s_{1}$.
- Using 2 Boolean variables $v_{0}$ and $v_{1}$, we can symbolically represent four states $S=\left\{s_{0}, s_{1}, s_{2}, s_{3}\right\}$.
- The formula $\neg v_{1} \wedge \neg v_{0}$ symbolically represent the state $s_{0}$.
- The formula $\neg v_{1} \wedge v_{0}$ symbolically represent the state $s_{1}$.
- The formula $v_{1} \wedge \neg v_{0}$ symbolically represent the state $s_{2}$.
- The formula $v_{1} \wedge v_{0}$ symbolically represent the state $s_{3}$.
- Using 3 Boolean variables $v_{0}, v_{1}$ and $v_{2}$, we can symbolically represent 8 states $S=\left\{s_{0}, s_{1}, s_{2}, s_{3} \ldots, s_{7}\right\}$.
- The formula $\neg v_{2} \wedge \neg v_{1} \wedge \neg v_{0}$ symbolically represent the state $s_{0}$.
- ....
- The formula $v_{2} \wedge v_{1} \wedge v_{0}$ symbolically represent the state $s_{7}$.

For every Boolean variable we add, the number of possible combinations and therefore states that we can encode, doubles. In general with $n$ bits we can encode up to $2^{n}$ states.
Example. Given a state space $S=\left\{s_{0}, s_{1}, s_{2}, s_{3}, s_{4}\right\}$. How many Boolean variables to you need to represent all states in the state space symbolically?
Solution. The number of Boolean variables required to encode the set of five states is $n \geq \log _{2} 5=2.3219281$. Therefore, we need at least 3 Boolean variables $v_{2}, v_{1}$ and $v_{0}$.

## Example 3

Given a state space of the size $|S|=2^{12}=4096$. Give the symbolic encoding for the state $s_{457}$.

Solution. For the symbolic encoding we need 12 Boolean variables, $\left\{v_{11}, \ldots, v_{0}\right\}$. Let $v_{11}$ be the most significant bit, and $v_{0}$ the least significant bit.
We have that

$$
(457)_{10}=(000111001001)_{2}
$$

therefore, we get the symbolic encoding

$$
\neg v_{11} \wedge \neg v_{10} \wedge \neg v_{9} \wedge v_{8} \wedge v_{7} \wedge v_{6} \wedge \neg v_{5} \wedge \neg v_{4} \wedge v_{3} \wedge \neg v_{2} \wedge \neg v_{1} \wedge v_{0}
$$

Symbolic Representation of Sets of States. The strength of symbolic encoding is that we are often able to represent huge sets with relatively small formulas. Therefore, symbolic encoding gives us a method to handle large state spaces.
A formula precisely represents the set of all valuations that make it true and each valuation represents a state.
Thus, a formula can be viewed as a symbolic representation of a set of states.

## Example 4

Given a state space of the size $|S|=8$. Give the symbolic encoding for the set of states $\left\{s_{5}, s_{1}\right\}$.

Solution. We encode $s_{5}$ with $\left(v_{1} \wedge \neg v_{2} \wedge v_{3}\right)$ and $s_{1}$ with $\left(\neg v_{1} \wedge \neg v_{2} \wedge v_{3}\right)$. The set $\left\{s_{5}, s_{1}\right\}$ is represented with the formula:

$$
\left(v_{1} \wedge \neg v_{2} \wedge v_{3}\right) \vee\left(\neg v_{1} \wedge \neg v_{2} \wedge v_{3}\right)=\left(\neg v_{2} \wedge v_{3}\right)
$$

## Example 5

Given a state space of the size $|S|=1024$ and two sets of states $B=$ $\left\{s_{0}, s_{1}, s_{2}, \ldots, s_{511}\right\}$ and $C=\left\{s_{256}, s_{257}, \ldots, s_{767}\right\}$. Find a propositional logic formula $b$ that symbolically encodes the set $B$, and find a formula $c$ that encodes the set $C$.

## Solution.

Using the variables $\left\{v_{9}, \ldots, v_{0}\right\}$ the encoding of $B$ is simply given by $b=$ $\neg v_{9}$.
In order to find a simple formula $c$ for $C$, we can analyze the Binary representations of the contained states.

- The binary encoding of 256 is 0100000000 .
- The binary encoding of 257 is 0100000001 .
- ...
- The binary encoding of 767 is 1011111111 .

We can see that only the values of $v_{9}$ and $v_{8}$ are important to distinguish whether a state is included in $C$ or not. We get the final formula:

$$
c=\left(\neg v_{9} \wedge v_{8}\right) \vee\left(v_{9} \wedge \neg v_{8}\right)=\left(v_{9} \oplus v_{8}\right)
$$

### 9.2.2 Symbolic Representation of the Transition Relation

To represent the transition relation of transition system symbolically, we extend the idea used for the symbolic representation of sets of states from above. This time, we use a formula to represent a set of ordered pairs of states. Therefore, we create a second set of variables $V^{\prime}$. We think of the variables in $V$ as present state variables and the variables in $V^{\prime}$ as next state variables. Each variable $v \in V$ has a corresponding next state variable $v^{\prime} \in V^{\prime}$. A transition is a valuation for the variables in $V \cup V^{\prime}$.

Example. Given a state space of the size $|S|=2^{3}=8$. Give the formula for the transition from state $s_{3}$ to state $s_{7}$ as shown below.


Solution. The current state $s_{3}$ is encoded via $\neg v_{2} \wedge v_{1} \wedge v_{0}$. The next state $s_{7}$ is encoded via $v_{2}^{\prime} \wedge v_{1}^{\prime} \wedge v_{0}^{\prime}$. This results in the following formula encoding the transition:

$$
\neg v_{2} \wedge v_{1} \wedge v_{0} \wedge v_{2}^{\prime} \wedge v_{1}^{\prime} \wedge v_{0}^{\prime}
$$

Example. Which transition is encoded by the following formula:

$$
\left(v_{1} \wedge v_{2} \wedge v_{3} \wedge v_{1}^{\prime} \wedge v_{2}^{\prime} \wedge v_{3}^{\prime}\right)
$$

Solution. The transition which is a self loop from state $s_{7}$ to $s_{7}$.
Sets of transitions can be formed using disjunctions to connect the encodings for the individual transitions, which can then be further simplified. For transition systems with dense transition relations, a simple way to get a short formula for the transition relation is to encode the edges that are not contained in the transition relation, and to negate the resulting formula.

## Example 6

Consider the following transition system with $|S|=4$. Give the formula that symbolically represents the transition relation.


Solution. Using the variables $v_{1}$ and $v_{0}$, we can define the transition relation using the following formula:

$$
\begin{array}{r}
\neg\left(\left(\neg v_{1} \wedge \neg v_{0} \wedge \neg v_{1}^{\prime} \wedge v_{0}^{\prime}\right) \vee\right. \\
\quad\left(\neg v_{1} \wedge v_{0} \wedge \neg v_{1}^{\prime} \wedge v_{0}^{\prime}\right) \vee \\
\left(v_{1} \wedge \neg v_{0} \wedge \neg v_{1}^{\prime} \wedge v_{0}^{\prime}\right) \vee \\
\quad\left(v_{1} \wedge v_{0} \wedge \neg v_{1}^{\prime} \wedge v_{0}^{\prime}\right) \vee \\
\left(\neg v_{1} \wedge \neg v_{0} \wedge v_{1}^{\prime} \wedge \neg v_{0}^{\prime}\right) \vee \\
\left.\left(v_{1} \wedge \neg v_{0} \wedge \neg v_{1}^{\prime} \wedge \neg v_{0}^{\prime}\right)\right)
\end{array}
$$

We can simplify the formula to:

$$
\begin{array}{r}
\neg\left(\left(\neg v_{1}^{\prime} \wedge v_{0}^{\prime}\right) \vee\right. \\
\left(\neg v_{1} \wedge \neg v_{0} \wedge v_{1}^{\prime} \wedge \neg v_{0}^{\prime}\right) \vee \\
\left.\left(v_{1} \wedge \neg v_{0} \wedge \neg v_{1}^{\prime} \wedge \neg v_{0}^{\prime}\right)\right)
\end{array}
$$

### 9.2.3 Symbolic Encoding of Arbitrary Sets

Aside using binary encoding to efficiently represent transition systems, we want to be able to efficiently represent and manipulate sets of arbitrary symbols.
In order to encode arbitrary sets, you need to perform the following steps.

1. Determine the cardinality of the set of symbols and the number of Boolean variables to use in the encoding.
2. Fix the correspondence between each symbol in the set and the valuation of the Boolean variables representing it.

With $n$ Boolean variables, a maximum of $2^{n}$ elements can be encoded. Therefore, if $N$ is the cardinality of the set of symbols, we need $n \geq\left\lceil\log _{2} N\right\rceil$ Boolean variables for the symbolic encoding.
Once the number of bits has been decided, the relationship between each element in the set and a concrete validation of the Boolean variables needs to be fixed. Each element must have at least one binary representation, and each sequence of bits must correspond with one element in the set.

### 9.2.4 Set Operations on Symbolically Encoded Sets

When using formulas to characterize sets, we can perform the usual set operations by appropriate transformations to symbolic operations. Let $A$ and $B$ denote sub-

## Example 7

Consider the set of symbols $A=\{$ Yellow, Orange, Green, Blue $\}$. Find a symbolic representation for $A$. Give the formulas $b$ and $c$ representing the set $B=\{$ Yellow, Orange $\}$ and $C=\{$ Green, Orange $\}$ respectively.

Solution. We use 2 Boolean variables for the encoding.

| Symbol | Encoding $v_{1}, v_{0}$ |
| :--- | :---: |
| Yellow | 00 |
| Orange | 01 |
| Green | 10 |
| Blue | 11 |

Using this encoding, we end up in the following formulas for $b$ and $c$.

$$
b=\left(\neg v_{1}\right) ; \quad c=\left(v_{1} \oplus v_{0}\right)
$$

sets of a set $S$ and $a$ and $b$ denote the respective symbolic representations of $A$ and $B$. Then we have:

| Intersection | $A \cap B$ | $a \wedge b$ |
| :--- | :---: | :---: |
| Union | $A \cup B$ | $a \vee b$ |
| Difference | $A \backslash B$ | $a \wedge \neg b$ |

Similar transformations apply in the case of relational operators over sets. For example, we can check $A \subseteq B$ by determining whether the formula $a \rightarrow b$ evaluates to true.

| Equality | $A=B$ | $a \equiv b$ |
| :--- | :---: | :---: |
| Subset | $A \subseteq B$ | $a \rightarrow b$ |

## Example 8

Given the sets of states $S$ with $|S|=1024, B=\left\{s_{0}, s_{1}, s_{2}, \ldots, s_{511}\right\}$ and $C=\left\{s_{256}, s_{257}, \ldots, s_{767}\right\}$ from the example above. Compute the symbolic representation for the sets $D=B \cup C, E=B \cap C$, and $F=S \backslash E$.

## Solution.

We first compute the formulas $b=\neg v_{9}$ and $c=\left(v_{9} \oplus v_{8}\right)$. Using $b$ and $c$, we compute:

- $d=b \vee c \equiv\left(x_{9} \oplus x_{8}\right) \vee \neg x_{9} \equiv\left(x_{9} \wedge \neg x_{8}\right) \vee \neg x_{9}$
- $e=\left(\left(x_{9} \wedge \neg x_{8}\right) \vee\left(\neg x_{9} \wedge x_{8}\right)\right) \wedge \neg x_{9} \equiv \neg x_{9} \wedge x_{8}$
- $f=$ true $\wedge \neg\left(\neg x_{9} \wedge x_{8}\right) \equiv x_{9} \vee \neg x_{8}$


## Declaration of Sources

Chapter 8 is based on the following book.
Edmund M. Clarke Jr., Orna Grumberg, Daniel Kröning, Doron Peled, Helmut Veith: Model Checking. Second edition. MIT Press. ISBN-13: 978-0262038836. ISBN-10: 0262038838

## List of Definitions

9.1 Transition system ..... 4

